DePaul University’s Financial Planning Education Center is a leader in continuing education and training for financial service professionals. One of the longest-running classroom providers of financial planning education in the Midwest, the Financial Planning Education Center offers programs for both experienced professionals and career changers. The Financial Planning Education Center has helped more than 3,000 professionals further their financial education and has provided several corporations with customized, on-site training for their staff.

*Educating Chicagoland financial planners since 1999.*
At DePaul, you’ll gain the knowledge you need—as well as the certification that’s respected in the marketplace—to succeed in the growing field of financial planning. Certification gives you the credentials you need, whether you want to break into the profession or advance in your current career. DePaul’s Financial Planning Certificate program is the longest-running, board-certified financial planning program in the Chicago area. Since 1999, DePaul’s program has been widely recognized as an assurance of skills in financial planning—skills that clients and companies seek. A rigorous curriculum—taught by expert practitioners and instructors—covers the many different facets of financial planning, including insurance, investments, income tax, retirement and employee benefits, and estate planning.

WHO SHOULD ATTEND
This program is right for anyone pursuing the Certified Financial Planner™ certification, including professionals who are in the fields of investment brokerage, insurance, banking, accounting and law. Through a rigorous course of study, you’ll be prepared to take the CFP® Certification Examination. The Certified Financial Planner Board of Standards, Inc. (CFP Board) requires individuals to have an undergraduate degree before claiming CFP® certification. Therefore, we strongly recommend that students have a four-year degree before enrolling in the program.

HOW YOU WILL BENEFIT
Exceptional instructors. Our faculty members have extensive professional experience; each instructor has either CFP® certification or another appropriate professional designation. The program follows the topics recommended by CFP Board as a framework for all courses, with instructors providing additional exercises, case studies and handouts. The result is a series of courses combining sound financial planning theory and practical skill development.

Convenient campus locations and scheduling. Courses are offered on weeknights at DePaul’s Loop, Naperville and O’Hare campuses, as well as in an online format on a quarterly schedule. To accommodate busy students, the program also allows missed classes to be made up by joining a class session at another campus.

Flexible payment options. Pay as you go. You are not required to financially commit to the entire program. Also, a company deferral payment option is available to those participants with a tuition reimbursement plan through their employers.

Proven success. Graduates of this program consistently outperform the national average for first-time test takers of the CFP® Certification Examination. The real-world experience of our faculty ensures that participants are not only ready for the exam, but also are prepared to succeed in today’s competitive, growing profession of financial planning.

Certified Financial Planner Board of Standards Inc. owns the marks CFP®, CERTIFIED FINANCIAL PLANNER™, and CFP (with flame logo)® in the U.S., which it awards to individuals who successfully complete initial and ongoing certification requirements. DePaul University does not certify individuals to use the CFP®, CERTIFIED FINANCIAL PLANNER™ and CFP (with flame logo)® certification marks. CFP® certification is granted only by Certified Financial Planner Board of Standards Inc., to those persons who, in addition to completing an educational requirement such as this CFP Board-Registered Program, have met its ethics, experience and examination requirements.

“THE INSTRUCTOR GAVE MANY REAL-LIFE EXAMPLES, AND THIS WAS VERY HELPFUL IN APPLYING WHAT WAS LEARNED.”
NICOLE HOIDA
Continuing Education Credits. Students who are eligible for continuing education credits from the Illinois Department of Financial and Professional Regulation or the Illinois Division of Insurance should indicate this on the registration form. Fulfillment will occur upon successful completion of a course. Only FPEC 101-105 are approved for CE/CPE credit.

Transfer Policy. Course work from another CFP Board-registered program may be eligible for transfer. Requests (including the course description from the other school’s catalog and an official transcript) must be made in writing. To earn certification from DePaul, students must complete more than 50 percent of their course work in DePaul’s program.

Textbooks. Textbooks are required for each course and are available for purchase at the Loop Campus bookstore or online at mbsdirect.net. Textbook fees and the CFP® Certification Examination fee are not included in the tuition.

Requirements for Certification. The DePaul program provides only the educational component for CFP® Certification. Visit CFP Board’s website at cfp.net for a complete listing of requirements.

Information Sessions. DePaul offers free information sessions both in-person and online about the Financial Planning Certificate Program prior to the start of each new term. Meet with a financial planning instructor who will provide an overview of the program and the Certified Financial Planner™ designation. Visit cpe.depaul.edu/CFPinfo for the dates and times of upcoming information sessions.

Online Program Option. DePaul also offers the Financial Planning Certificate Program in a live, instructor-led, Internet-delivered version. Enjoy the convenience and flexibility of online courses while learning from exceptional instructors in “virtual classrooms.” Our program is delivered live through the use of headset and voice-over-IP technology allowing attendance from anywhere in the country. You can choose to attend regularly scheduled classes or listen to the archived lessons at your convenience. The e-Financial Planning Certificate Program offers you the same rigorous curriculum as our in-class option to prepare you for CFP® certification.
REQUIRED COURSES

Students receive at least 30 hours of in-depth instruction on each of the six core subjects. For a more detailed description of each, visit cpe.depaul.edu/fpec.

Fundamentals of Financial Planning and Insurance Planning
In this introduction to the basics of the financial planning profession, you’ll learn how to evaluate client data, needs and goals, and the concept of the time value of money. Topics covered include the financial planning process, CFP Board’s code of ethics and professional responsibility, budgeting, financial institutions, client behavior and financial planning for special circumstances. In addition, you’ll gain a thorough understanding of insurance basics, a broad range of insurance types and risk management strategies.

Investment Planning
This course introduces you to basic investment concepts and focuses on client needs and assessments, particularly as they relate to risk tolerance and exposure. Topics include investment vehicles and strategies, measures of investment risks and returns, valuation concepts and methods, portfolio management and diversification, asset allocation, pricing models, hedging and option strategies, tax-efficient investing and others.

Income Tax Planning
Taxes play a big role in financial planning, and in this course you’ll gain an overview of the federal tax system, including concepts of personal and business taxation. Topics include tax compliance, income tax fundamentals and calculations, tax accounting methods, charitable contributions and deductions.

Retirement Planning and Employee Benefits
Many clients seek the help of financial planners in preparing for retirement, whether for themselves or their employees. You’ll learn about planning alternatives, including qualified and non-qualified retirement plans and social security. Some of the topics covered are retirement needs analysis, Social Security and Medicare, types of retirement plans for individuals and businesses, and distribution rules. The course also addresses non-pension employee benefits.

Estate Planning
The fifth required course is an introduction to estate planning challenges, techniques and taxation. Topics include estate planning documents, gifting strategies and taxation, incapacity planning, estate tax calculation and compliance.

Financial Plan Development
In this course, you’ll learn how to integrate and apply your financial planning knowledge and communicate what you’ve learned in the form of both a written financial plan and an oral presentation. CFP Board requires this course for all students who began training after January 1, 2012.

Check our website for current course dates and campus locations.
OPTIONAL COURSES

In addition to taking the six required courses, you can choose among these valuable courses.

CALCULATOR TRAINING WORKSHOP
All courses offered by DePaul’s Financial Planning Education Center require mastery of a financial calculator. This training workshop provides students with complete instruction on the Hewlett Packard 10BII calculator. You’ll learn how to calculate the time value of money, internal rate of return, present value of annuity, amortization and life insurance needs. The calculator is included in the cost of the workshop.

ADVANCED TOPICS IN FINANCIAL PLANNING
Diversity Matters! Attracting, Serving and Retaining Culturally Diverse Clients
In this one-day course, you’ll learn the techniques necessary to serve culturally diverse clients.

Estate Planning: It’s More than Money and Taxes
In this three-hour workshop, you will learn to identify your clients’ values and integrate these values with their estate planning intentions.

This course is designed to fulfill the CFP Board CE requirements under Addendum 1: client and planner attitudes, values, biases and behavioral characteristics and the impact on financial planning.

Money Coaching: Another Tool in My Toolbox
This three-hour workshop will introduce the development of the coaching industry, discuss the differences between coaching and counseling, and describe different models of coaching in the financial planning industry.

This course is NOT designed to fulfill the CFP Board CE requirements under Addendum 1.

Stop Difficult Clients from Getting in Their Way
This one-day course will teach you how to implement a step-by-step approach to decrease undesirable client behaviors and increase desirable behaviors.

This course is designed to fulfill the CFP Board CE requirements under Addendum 1: principles of communication and counseling.

The Psychology of Money: Beyond Behavioral Finance
In this course, you’ll learn to apply lessons from behavioral finance and clinical psychology to better understand your client’s knowledge of money and help them achieve their financial goals.

This course is designed to fulfill the CFP Board CE requirements under Addendum 1: client and planner attitudes, values, biases and behavioral characteristics and the impact on financial planning.

Advanced topics courses offered only at the Loop Campus.
## CONTINUING AND PROFESSIONAL EDUCATION
### REGISTRATION FORM

**FINANCIAL PLANNING EDUCATION CENTER**  
**PROGRAM AND COURSES**

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Preferred mailing address:  
- [ ] Home  
- [ ] Business

Preferred email address:  
- [ ] Home  
- [ ] Business

How did you hear about us:  
- [ ] Email  
- [ ] Internet Search  
- [ ] CPE Event  
- [ ] Other  
- [ ] Print Ad  
- [ ] Word of Mouth  
- [ ] Direct Mail  
- [ ] Employer  
- [ ] Professional Organization/Association

Highest level of education:  
- [ ] High School/GED  
- [ ] Bachelor’s Degree  
- [ ] Associate Degree  
- [ ] Master’s Degree  
- [ ] Professional Degree  
- [ ] Doctoral Degree  
- [ ] Other

School previously attended:  

Continuing Education Credit:  
- [ ] Insurance  
- [ ] Accounting

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**TOTAL:**

**PAYMENT OPTIONS**  
(Payment must be received at the time of registration.)

- [ ] Check (payable to DePaul University CPE)  
- [ ] Visa  
- [ ] MasterCard  
- [ ] Discover  
- [ ] American Express

Account Number  
Expiration Date

Name as it appears on the card

Signature

*Payment may be made by check, VISA, Master Card, Discover, American Express or money order. Cash will not be accepted. Payment must be received at the time of registration. Checks should be made payable to DePaul University CPE and reference the student’s name. University policy requires a $25.00 Non-Sufficient Funds (NSF) fee to be charged for all returned checks.*

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All registrations are due seven calendar days before the course start date. Any registration submitted after that deadline will be charged a mandatory $75.00 late registration fee. You will receive confirmation of your registration by email. If you need confirmation or a receipt mailed to you, contact our office at (312) 362-6300 or cperegistration@depaul.edu. Written notification of cancellation is required seven calendar days before the course start date to receive a full refund (minus a $75.00 cancellation fee).

DePaul’s complete registration and student policies can be found on our website at cpe.depaul.edu. We reserve the right to substitute instructors, change the day and location that programs meet, and cancel programs due to insufficient enrollment or unforeseen events.
DePaul University Continuing and Professional Education (CPE) is a leading provider of professional development and learning for working adults seeking to enhance their skills, advance in their careers and enrich their understanding of a rapidly changing world. CPE also offers education solutions customized to meet the needs of business, government and nonprofit organizations.

Corporate Training
For organizations wishing to increase the knowledge and skills of their employees, DePaul’s Continuing and Professional Education offers customized learning solutions.

School for New Learning
The School for New Learning (SNL), DePaul’s college solely for adult students, offers undergraduate and graduate degree programs that are customized to your interests and intended career path. Completion of a certificate program may be applied toward a degree in SNL. Contact snlcpede@depaul.edu for more information.

Visit Our Website
For online registration, course information and current dates, visit our website at cpe.depaul.edu/fpec.

Program Contact
Dorothy Jagonase, Program Manager
(312) 362-5321
djagonas@depaul.edu